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The implementation and impact of non-invasive prenatal testing (NIPT) for Down's syndrome into antenatal screening programmes: a systematic review and metaanalysis

11 Background

12 Non-invasive prenatal testing (NIPT) is a widely adopted, accurate maternal blood test using DNA 13 sequencing to detect foetal chromosomal conditions, notably Down's syndrome (DS). The introduction 14 of this test, which may have implications for important decisions made during pregnancy, requires 15 continual monitoring and evaluation. This systematic review aims to assess the extent of NIPT 16 introduction into national screening programs worldwide, its uptake, and impact on pregnancy 17 outcomes.

18 Methods and Findings

20 MEDLINE, CINAHL, Scopus, and Embase for population-based studies, government guidelines, and 21 Public Health documents from 2010 onwards. Results summarised the national policies for NIPT implementation into screening programmes geographically, along with estimated uptakes. Meta-analyses estimated the pooled

24 proportions of women choosing invasive prenatal diagnosis (IPD) following a high chance biochemical 25 screening result, before and after NIPT was introduced. Additionally, we meta-analysed outcomes 26 (termination of pregnancy and live births) amongst high chance pregnancies identified by NIPT. 27 Results demonstrated NIPT implementation in at least 27 countries, predominantly high-income 28 European nations. Uptake of second line NIPT varies, from 20.4% to 93.2% (n=6). Following high 29 chance biochemical screening, the proportion of women choosing IPD decreased post-NIPT 30 implementation from 75% (95% CI 53%, 88%, n=5) to 43% (95%CI 31%, 56%, n=5), an absolute risk 31 reduction of 38%. A pooled estimate of 69% (95% CI 52%, 82%, n=7) of high chance pregnancies for 32 DS after NIPT resulted in termination, while 8% (95% CI 3%, 21%, n=7) had live births of babies with 33 DS.

NIPT has rapidly gained global acceptance, influenced by healthcare 35 structures, historical screening 36 practices, and cultural factors. Our findings indicate a reduction in IPD tests following NIPT 37 implementation, but limited pre-NIPT data hinder comprehensive impact assessment. Transparent, 38 comparable data collection is imperative for monitoring NIPT's potential consequences.

39 Introduction

40 Non-invasive prenatal testing (NIPT) was introduced as an antenatal screening test for Down's 41 syndrome in 2011 (1), bringing with it the potential to impact existing screening pathways, 42 reproductive choices, and medical care during pregnancy. Down's Syndrome (DS), or Trisomy 21, is 43 the most common chromosomal condition seen in live births and results from a third (partial or 44 complete) copy of chromosome 21 (2). Screening for DS is part of routine antenatal care in many 45 countries (3), often based on a combination of maternal age and blood markers such as alpha-feto 46 protein, free beta-hCG and pregnancy associated plasma protein A (4). Foetal nuchal translucency (NT) 47 measurements from a routine first trimester ultrasound scan may also be used for women presenting 48 for antenatal care early in pregnancy (Figure 1). This combined approach, referred to as biochemical 49 screening, gives a chance score for the likelihood of DS and has an average detection rate (DR) of 70% 50 and a false positive rate (FPR) of 5% (4). Subsequent diagnostic testing (genetic testing of foetal or 51 placental cells obtained through amniocentesis or chorionic villus sampling, collectively referred to as 52 invasive prenatal diagnosis (IPD)), is used to confirm the screening results of high chance pregnancies. 53 IPD is, however, associated with a 0.5% procedure-related risk of miscarriage (5).

54 Technological advancements in DNA sequencing methods have resulted in the introduction of NIPT as 55 an antenatal screening test for DS. NIPT can be used from 10 weeks gestation and analyses placental 56 cell-free DNA (cfDNA) circulating in the maternal blood, utilising DNA sequencing to identify relative excess or deficit in regions of the foetal chromosomes when 57 compared to the expected distribution 58 (6). NIPT screening was first introduced commercially in Hong Kong and the US in 2011 and then 59 marketed worldwide as a privately available test. It has since been recommended for implementation 60 into national antenatal screening programmes by various governing bodies, including the American 61 College of Obstetricians and Gynaecologists in 2012 (7) and the UK National Screening Committee in 62 2015 (1) as both a contingent, or 'second line', screen for high chance pregnancies identified by 'first 63 line' biochemical screening (8–10), or as a first line test (11). Previous reviews have reported on the 64 widespread marketing and availability of NIPT testing (1), and on the considerable variability in 65 population uptake of NIPT between countries - from 25-50% in the Netherlands, USA and Australia, to 66 75% in Belgium (12,13). However, these reviews do not focus solely on national-level implementation, 67 and with NIPT use ever progressing, an updated understanding of the population-level impact of this 68 test is required.

69 NIPT is more accurate than biochemical screening, with a DR of 99.2% and FPR of 0.09% (14). The 70 possibility of a false positive result means that NIPT is not diagnostic, and false positives can be 71 indicative of placental mosaicism, vanishing twin, or maternal cancer (15). Therefore, as with 72 biochemical screening, IPD is still required for a prenatal confirmation of positive NIPT results. 73 However, by improving the accuracy of screening tests to identify women with a high chance of having 74 a baby with DS, it is expected that the introduction of NIPT will reduce the number of unnecessary IPD 75 tests performed (16). Although a reduction has already been reported in some populations (12), it is 76 important to understand if this trend is seen universally. Moreover, as the addition of this test changes 77 the screening options and accuracy of information available to women during their pregnancy, there 78 is potential to change the behaviours and reproductive choices following the antenatal screening 79 pathway. It could also inform pre and postnatal care, impacting the survival of babies with DS. 80 With new countries implementing NIPT into their national antenatal screening programmes every year 81 (8,13,17,18), continual monitoring is important for an up-to-date understanding of the extent of NIPT 82 use in antenatal screening programmes for DS, along with its impact on IPD test uptake and pregnancy outcomes. Therefore, this systematic review will focus on two aspects 83 of NIPT introduction into 84 antenatal screening pathways for DS. Firstly, it will investigate the extent of NIPT use in government85 implemented antenatal screening for Down's syndrome, including how NIPT has been implemented 86 and its uptake by eligible women in these populations. Secondly, it will evaluate the impact of NIPT as 87 a screening tool on specific reproductive choices and outcomes of pregnancies with DS in all clinical 88 settings. This will include populations which may not have a national screening policy, exploring the 89 proportion of IPD, termination of pregnancy and live births of babies with DS in eligible populations 90 as key indicators of the impact of NIPT. This will provide necessary context for the future 91 implementation of NIPT elsewhere and give insight into its potential impact on pregnancies of babies 92 with DS.

93 Methods

94 Review questions

95 This systematic review aimed to address the following research questions:

96 A) To what extent has non-invasive prenatal testing (NIPT) been implemented as part of a 97 national antenatal screening programme for DS globally, and what is the uptake of NIPT in 98 these populations?

99 B) What impact has the use of NIPT had on the reproductive choices made and on pregnancy 100 outcomes, in any clinical setting?

101 Terminology can be inconsistent; therefore, the glossary in Table 1 provides clarification and defines 102 the terms to be used throughout this systematic review.

Search strategies and selection criteria

Searches were conducted in MEDLINE, Embase, CINAHL and Scopus from January 103 2010 to March 2023, 104 as the first reported use of NIPT for prenatal testing was in 2011 (1). Two independent search inclusion 105 and exclusion criteria were used to optimise screening and the identification of relevant studies for 106 the two research questions presented.

107

108 Part A (NIPT implementation in national antenatal screening programmes for DS globally):

109 observational studies and healthcare or governmental publications were included, from autonomous

110 regions (countries/states/region) where NIPT had been implemented as part of national screening

111 guidelines for DS. The specific terms used within this review are presented in the glossary. When there

112 was evidence that a screening programme had been updated, or there were multiple papers covering 113 the same population and period, the most recent and comprehensive study was chosen for inclusion. Glossarv

Antenatal screening programme – for the purposes of this review this corresponds to screening tests offered

to women during their pregnancy to screen for DS.

High chance pregnancy - pregnancy that meets the locally decided threshold for having a higher chance of DS (chance > 1/X pregnancies).

Biochemical testing – umbrella term for the combined use of maternal serum markers (first trimester: free beta human chorionic gonadotropin (HCG) and pregnancy associated plasma protein A (PAPP-A), second trimester: alpha-feto protein (AFP), free beta-HCG, inhibin-A and unconjugated oestriol), maternal age and nuchal translucency measurement on ultrasound to report the chance of the baby having DS. Also sometimes referred to as first trimester combined screening or traditional screening.

First line screen – a screening test that is usually offered to all pregnant women (may have inclusion/exclusion criteria) as their first test screening for the chance of the baby having DS.

Second line screen – a screening test offered to women who have already had one screening test e.g. biochemical, and have been identified as high chance. Can also be referred to as contingent screening. Pre-NIPT vs post-NIPT – Time periods before and after NIPT was introduced into an antenatal screening programme for DS or offered in a clinical setting. For pre-NIPT, this may have been a period when biochemical screening was offered for DS, or no screening in some cases.

Autonomous Region- any country/state/geographical region with autonomy to implement health policies for its population.

Risk Threshold – the limit set by a specific antenatal screening programme to define a high chance pregnancy with DS after biochemical testing (e.g., a chance of 1 in 150 pregnancies or higher).

Table 1: Glossary of the key terms and their definitions used throughout this systematic review. 7

Studies were excluded when NIPT was not part of a national screening policy, 114 it was not being used to 115 screen for DS, no outcomes of interest were identified in the paper, or were single centre studies. 116

117 Part B (impact of antenatal NIPT screening for DS on the prevalence of specific reproductive choices 118 and pregnancy outcomes): we included observational cohort studies and healthcare or governmental 119 publications where NIPT was accessible as a screening tool, in any clinical setting, including single 120 centre studies. NIPT did not need to have been implemented as part of a national policy. We included 121 studies that provided data on the prevalence of IPD, terminations of pregnancy, and live births of 122 babies with DS. Data on these outcomes before NIPT was introduced was also extracted where 123 reported in that population.

124

125 For both parts, the search strategy (S2 Appendix) included a combination of keywords and MeSH
126 headings relating to the terms 'non-invasive prenatal testing', 'Down's syndrome' and
127 'implementation'. Some studies were eligible for inclusion in both parts of this review. Reference lists
128 and citations were searched in all included studies. Where reference to a national screening
129 programme was found but the report was not in the included studies, handsearching of Google was
130 used to try and identify the grey literature or reports not formally published on the databases
131 searched. Authors of included studies were contacted if additional data were required for meta132
analysis. Screening, data extraction, and quality assessment of at least 20% of the papers were carried
133 out in duplicate by independent reviewers. No language restrictions were applied. Full details of the
134 search strategy can be found as part of the PROSPERO registered protocol (S1 Appendix). This review
135 was conducted in line with the PRISMA guidelines and checklist (19).

137 Quality assessment

138 After full text screening, included studies were quality assessed using the Downs and Black quality 139 checklist by one reviewer (20). Papers were scored as follows: excellent (26-28), good (20-25), fair (15-8)

19) and poor (<14), based on adaptations from evidenced-based healthcare 140 centres by Hooper et al., 141 (21).

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143 Data extraction and analysis

144 Study characteristics extracted for both parts, A and B, included the author and year of publication, 145 study design and aims, time period of study, country or state, primary outcome measures of the paper, 146 and declarations of interest/funding. The specific outcomes extracted for each part of the review are 147 described in Table 2. Extracted data was entered into an individual Excel spreadsheet file by each 148 independent reviewer and then merged into a central file once any discrepancies had been agreed. Table 2: Outcomes extracted from included studies for both sections of this systematic review. Part A Part B

9

Year of NIPT implementation. NIPT inclusion/exclusion criteria. When in the screening pathway NIPT is offered.

How NIPT is funded (public/patient/both).

Size of eligible population (n).

Uptake of NIPT (% of eligible women who opt for NIPT).

How NIPT is funded (public/patient//both) Average maternal age accessing NIPT.

When NIPT is offered (first/second line screening) Number of high chance results after biochemical screening

(when NIPT is offered as second line)

High chance women after NIPT screening (first and/or second line)

Number of IPD following high chance result from biochemical and/or NIPT screening.

Number of terminations following high chance biochemical and/or NIPT screening.

Number of live births of babies with Down's syndrome following high chance biochemical and/or NIPT screening.

NIPT detection rate for DS and performance of NIPT in the screening pathway (sensitivity/specificity).

Pre-NIPT implementation data on all variables above.

The data extracted for part A was summarised using appropriate 149 graphical and descriptive analysis. A 150 map of the regions that have implemented NIPT into a national screening programme was produced 151 using Mapchart.net. Narrative synthesis was used to compare the implementation methods between 152 regions. Uptake was compared between regions as a percentage or narratively, depending on whether 153 studies used comparable measures.

154 For part B, data was summarised using frequencies and percentages. Denominator data was the 155 number of eligible women in the population for each stage of the pathway (e.g. population eligible for 156 screening). Data before NIPT was implemented (pre-NIPT) was used to provide comparison data 157 where available.

158 Der Simonian and Laird random effects meta-analyses (22) were used to calculate pooled proportions 159 with respective 95% CI and pooled odds ratios (OR), when there were at least three studies reporting 160 an outcome in part B. Risk ratio and absolute risk difference was also calculated for clearer 161 interpretation of the results. Where there was evidence of moderate heterogeneity (I2 > 40%), 162 subgroup analyses, as defined a priori, and meta-regressions were used to assess heterogeneity163 inducing factors, including risk threshold for NIPT, whether NIPT was accessed as first or second line screening, uptake of NIPT and whether it was a national im 164 plementation (for studies included in both 165 parts A and B). Publication bias was investigated using funnel plots. Not all papers reported both 166 termination of pregnancy and live birth outcomes. Therefore, a sensitivity analysis was performed on 167 the subgroup of papers that reported both outcomes to investigate whether this influenced the 168 estimated proportion. Further sensitivity analyses, where each included study was omitted one by 169 one, was performed for each of the meta-analyses, to identify the influence of individual studies on 170 the pooled effect size and between-study heterogeneity. Meta-analyses results were displayed using 171 forest plots. All analyses were conducted in R v4.1.3.

172 Results

173 Study Selection

174 Database, citation and grey literature searching returned 1724 records after de-duplication, of which 175 167 studies underwent full text screening for inclusion in parts A, B, or both. The initial search looked 176 for publications from 2010 to 10th May 2022, and was updated 29th March 2023. In total, 42 studies or 177 reports were selected for inclusion in parts A and/or B. The stages of screening, and reasons for study 178 exclusion at the full text screening stage are summarised in the PRISMA diagram in Figure 2. PRISMA 179 checklist for systematic review reporting is provided in S3 Appendix. The characteristics of the 42 180 included studies, including the quality assessment Downs and Black score, are described in detail in 181 Table S2 Appendix. 182 Part A – The implementation and uptake of NIPT in national antenatal screening

183 programmes for Down's syndrome

184

185 Twenty-one manuscripts met the inclusion criteria for part A, reporting on 27 countries, states or 186 autonomous regions in Europe, North America and Asia that implemented NIPT as part of a 187 recommended antenatal screening policy for DS between 2011 and 2023 (Figure 3). Eight were 188 retrospective cohort studies of population-based data (8,10,12,17,18,23–25), evaluating the Figure 2: PRISMA diagram showing the flow of screening stages in the systematic review. Figure includes details from both the initial search up to 10th May 2022, and the updated search on 29th March 2023. 11

implementation of NIPT into their screening programmes for DS, and four 189 were prospective cohort
190 studies (11,26–28). Also included were eight official government documents describing the
191 implementation of NIPT (9,29–35) and one study that undertook a survey of clinical experts
192 worldwide, providing data on multiple countries (13). Survey estimates of NIPT uptake from this study
193 were not extracted as it relied on best clinical estimates and was not based on population data.
194 Overall, the strategies for implementation of NIPT in antenatal DS screening have varied between
195 populations – as both a first and second line screening test, publicly and privately funded, along with
196 differing risk thresholds and criteria used to define high chance pregnancies for DS (Table 3).
197 Risk of bias

198 The Downs and Black quality scores are presented in Table S2. The studies that could be quality 199 assessed were 'good' (n=9) or 'fair' (n=3). The government guidelines included in this review were 200 not able to undergo risk of bias assessment as they did not follow the format of a scientific study. 201 NIPT implementation

202 The ways in which NIPT has been implemented as part of a prenatal screening policy for DS reported 203 in our included studies are summarised in Table 3. NIPT has been implemented as a first (n=7) or 204 second (n=15) line screening test. In Ontario (Canada) and Japan the option of either pathway is 205 offered. Figure 4 depicts the first and second line screening pathways, as well as describing how the 206 option of both is offered in some regions. Information on when NIPT is offered in the screening 207 pathway was not available for Lithuania, Finland or Slovenia (13). For second line NIPT screening, the 208 risk threshold for NIPT access after a biochemical screening result varies considerably in the included 209 studies; ranging from a chance over 1:1000 in France, Switzerland and Sweden, to a chance over 1:1000 Figure 3: Autonomous regions that have implemented NIPT into antenatal screening guidelines for Down's syndrome. Including

data from Gadsboll et al.,(13) and NIPT offered under Medicaid cover in the USA but where no other formal offer of NIPT in an

antenatal screening programme has been found. Created using Mapchart.net. NIPT = non-invasive prenatal testing. 12

in Moscow. This threshold dictates the number of women eligible for 210 NIPT and in turn determines the 211 sensitivity, specificity, and overall cost-effectiveness of the screening programme.

212 Twelve of the 27 regions implementing NIPT reported that they provide NIPT at least partly funded 213 by public health care or insurance plans, and four provide NIPT at full cost to the patient. The UK 214 nations, except Northern Ireland, which has no DS antenatal screening programme, have 215 implemented NIPT as a second line screening test for women with a high chance of having a baby

215 implemented NIPT as a second line screening test for women with a high chance of 216 with DS (8,9,32).

217 Some countries updated their guidelines for implementation and access to NIPT in prenatal

218 screening programmes throughout the period searched. The Netherlands pilot roll-out of NIPT in

219 2014 (named TRIDENT-1) offered funded NIPT as a second line screen for those pregnant women

220 who had undergone first trimester combined testing (FCT) and were high chance for DS or had a 221 positive medical history (36). The guidelines were updated in 2017, with TRIDENT-2, an evaluative 222 roll-out which offered NIPT to all pregnant women in the Netherlands as a first line screening test at 223 a cost of 175 Euros (11). This was then again updated with the end of the evaluation phase and the 224 full launch of the programme in 2023.

225 Poland, Romania, Lithuania, Finland, and Slovenia are reported by Gadsboll et al (13) to have 226 implemented NIPT. However, handsearching for relevant documents did not find any information on 227 their screening programmes.

Country / state / province

Year of NIPT implementation When is NIPT offered in the pathway? Funding Risk threshold for NIPT eligibility Study ID Hong Kong 2011 Second line screen Patient funded >=1: 250 Kou et al., 2016(25) Japan 2013 First and second line screen - depending on indications Not reported >1:300 classed as high risk guidelines do not rely on this for access to NIPT Samura et al., 2017(37) Victoria, Australia 2013 First line screen Patient funded N/A Lindquist et al., 2019(18) Switzerland 2015 Second line screen Publicly funded (insurance) >1:1000 Swiss public health insurance guidelines, 2015 (33) Taiwan 2015 First line screen Patient funded N/A Hsiao et al., 2022(24) Ontario, Canada 2016 First and second line screen Publicly funded (insurance) After first trimester screening >=1:350 or second trimester screening >= 1:200 Dougan et al., 2021(23) Sweden 2016 Second line screen Not reported 1:51 - 1:1000 SFOG guidelines 2016 (35) Belgium 2017 First line screen Publicly funded (insurance) N/A Van den Bogaert et al., 2021(12) Denmark 2017 Danish national guidelines for NIPT introduced in 2017, has been publicly available (funded) since 2013 in some regions of Denmark. Second line screen Public and patient funded - regional variations Public setting ->=1:300, some regions offer to intermediate risk: 1:300 - 1:700 or 1:1000. Lund et al., 2020(17) South Spain -Andalucía 2017 Second line screen Not reported >=1:280 Torres Aguilar et al., 2021(27) The Netherlands 2017 First line screen (opt for either NIPT or FCT at similar price) Subsidised (cost of 175 Euros unless previous history of DS) N/A Van der Meij, 2019 (11) Table 3: The implementation methods of NIPT reported in the included studies of part A, when NIPT has been implemented as part of a national screening programme for Down's syndrome. 14 NIPT first introduced as TRIDENT-1 pilot scheme in 2014, updated

in 2017 with TRIDENT-2 Yukon, Canada 2017 Second line screen (other indications e.g. twins / over 35 years offered NIPT as well) Publicly funded (insurance) Not reported Health and social services Yukon, 2019 (30) Wales, UK 2018 Second line screen Publicly funded (NHS) >=1:150 Bowden et al., 2022 (8) Poissy Saint-Germain, France 2019 Available under guidelines since 2015, fully funded from 2019 Second line screen Publicly funded (as of 2019) 1:51-1:1000 Duvillier et al., 2021 (10) Poland, Romania, Iceland, Lithuania, Italy, Finland, Slovenia, USA Tuscany, Italy - 2019 Poland and Romania second line screen High chance women can access NIPT in Iceland Medicaid coverage described for NIPT in many USA states. Not reported Gadsboll et al 2020* (13) Korea 2020 Second line screen Not reported >=1:270 Choe et al., 2021 (29) Moscow, Russia 2020 Second line screen Not reported >=1:100, or 1:101 – 1:2500 Olenev et al., 2021 (28) Scotland 2020 Second line screen Publicly funded (NHS) >=1:150 Scottish Government - Chief Medical officer Directorate 2020 (32) England 2021 Second line screen Publicly funded (NHS) >=1:150 Public Health England, 2021(9) California, USA 2022 First line screen Publicly funded (insurance) N/A The California prenatal screening programme (34) Shah et al., 2014(26) Norway 2022 Updated guideline offering universal NIPT for women over 35yrs. First line screen Publicly funded (insurance) N/A Norwegian Health Directorate - national professional guidelines (31) 228 15 229 Uptake of NIPT 230 The proportion of eligible women opting for NIPT was extracted from eight studies included in part A 231 (Table 4).

232 The uptake of NIPT is highly variable between countries for both first and second line screening. First 233 line NIPT is taken up by 1.54% of the women booking for antenatal care in Ontario, compared to 42%

234 in The Netherlands, although this may be influenced by women having the choice of either first or 235 second line screening in Ontario. Uptake of NIPT as a second line screen among women meeting the 236 local risk threshold after biochemical screening ranges from 20.4% uptake in Hong Kong, to 93.2% in

237 Andalucía, Spain.

Country / state / province Uptake (%) of NIPT among eligible pregnancies Reference

First line Second line Andalucía, Spain 93.2 (27) Belgium 78.7* (12) California, USA 30.86 (26) Denmark 1.1* (17) Hong Kong 20.4 (25) Ontario, Canada 1.54 73.9 (23) The Netherlands 42 (11)

Wales, UK 84.3 (8)

Part B – The impact of NIPT on IPD, termination 238 of pregnancy and live births of babies 239 with DS.

240 Thirty-one articles were included for data extraction in part B, ten of which were also included in part 241 A (Table S2 Appendix). Quality assessment classified the included studies into poor (n=5), fair (n=11) 242 and good (n=14). Data was extracted regarding pregnancy choices and outcomes (IPD, termination of 243 pregnancy and live births with DS) from both single centres and populations where NIPT screening 244 had been introduced.

245 Invasive prenatal diagnosis (IPD) following a high chance result for Down's syndrome 246 after biochemical and NIPT screening

247 Twelve studies reported the proportion of women with a high chance pregnancy for DS that had IPD 248 in the period post-NIPT implementation, although only five of these reported outcome data for the 249 pre-NIPT implementation as well.

250 IPD uptake pre-NIPT implementation period vs post-NIPT implementation period

251 Data was extracted from five studies reporting the proportion of women opting for IPD pre-NIPT and 252 post-NIPT implementation.

253 In the pre-NIPT period, between 48% and 93% of women who had a high chance biochemical 254 screening result for DS, opted for IPD. A meta-analysis of proportions was conducted to produce a 255 random effects pooled estimate for the proportion of women with a high chance pregnancy opting 256 for IPD (Figure 5A) indicating a pooled proportion of 75% (95% CI 53%, 88%, I2 = 97%) of women that 257 underwent IPD in the period before NIPT was introduced.

Figure 5: Forest plots for meta-analyses of proportions of IPDs chosen by higher chance pregnancies after biochemical screening for DS. A) pre-NIPT implementation B) post-NIPT implementation. C) Odds ratio metaanalysis, comparing the pre and post NIPT implementation time periods for the odds of having an IPD procedure in higher chance women.

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After NIPT was available in these populations, 30% to 62% 258 opted for IPD after a high chance 259 biochemical screening result, and the pooled proportion was 43% (95%Cl 31%, 56%; I2 = 95%, 260 suggesting that the proportion of women opting for IPD following a high chance biochemical 261 screening is reduced after NIPT is implemented as a second line screening test in the same 262 population.

263 The pooled odds ratio (Figure 5C) suggests a significant reduction in the odds of opting for an IPD 264 following a high chance biochemical screening result in the post-NIPT period compared to the odds 265 of the pre-NIPT period (OR = 0.25;95% CI 0.1, 0.61; p = 0.0024; I2 = 89%). This reduction represented 266 a risk ratio of 0.62 (95% CI 0.55 – 0.70), and an absolute risk reduction of 38% (S3).

267 IPD uptake among pregnant women with a high chance biochemical screening result after NIPT 268 implementation as a second line screening test

269 To obtain a more robust estimate of the pooled proportion of women with a high chance pregnancy 270 for DS after biochemical screening that chose IPD in the post-NIPT period, a meta-analysis was

271 conducted using data from all studies (n=12, Figure 6). The resulting pooled proportion showed that 272 27% (95% CI 15%, 43%; I2 = 99%) of high chance pregnancies opted for IPD, when NIPT is available as 273 a second line screening test.

274 Uptake of IPD among pregnant women with a high chance NIPT result for DS, after NIPT was 275 available as a first or second line screen

276 The combined pooled proportion of women opting for IPD following a high chance NIPT result, when

277 offered either as a first or second line screen (n = 21), is 87% (95% CI 80%, 92%; I2 = 94%) (S3 278 Appendix). The pooled proportions of IPDs opted for after a high chance NIPT result, when NIPT was Figure 6: Forest plot of the pooled estimate for proportion of higher chance pregnancies (resulting from biochemical screening) that went on to have an invasive prenatal diagnosis (IPD) after NIPT was implemented as a second line screen.

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introduced as either a first or second line screening test were also 279 calculated separately (Figures 7A 280 and 7B).

281 The pooled proportion of those opting for IPD following a high chance NIPT result is higher when 282 NIPT is introduced as a first line screening test versus second line introduction - 89% (95% CI 80%, 283 94%; I2 = 94%) of pregnancies, compared to 80% (95% CI 69%, 88%; I2 = 90%) - however there is 284 substantial overlap of the respective CIs. Meta-regression to adjust for first or second line offering of 285 NIPT did not demonstrate any significant difference in IPD uptake between the groups (n=21, 286 p=0.36).

287 Subgroup and meta-regression analyses – factors influencing IPD uptake

288 Subgroup and meta-regression analyses were used to explore the influence of specific variables on 289 the heterogeneity present in the above meta-analyses investigating IPD uptake (Table 5). No 290 significant difference was found between those studies where the biochemical screening threshold 291 to determine high chance pregnancies for DS (and subsequent access to NIPT) was more than 1:149 292 compared to less than 1:150 (p=0.22). When repeated for high chance pregnancies identified by NIPT 293 testing, there did seem to be a significant difference in IPD uptake between the biochemical risk 294 threshold groups and no threshold being in place (first line NIPT) (p<0.0001). Whether NIPT is 295 offered first, second line or as either, does not seem to have a significant effect on the proportion of 296 women choosing to have an IPD after a high chance pregnancies went on to choose IPD when NIPT was 297 (n=8), 81% (n=11) and 91% (n=2) of high chance pregnancies went on to choose IPD when NIPT was 298 implemented as a first, second or as both first- and second-line screening respectively (p = 0.28). 299 Moreover, there was no evidence for NIPT being implemented as part of a national guideline 300 influencing the proportion of women going on to have IPD.

Figure 7: A) Forest plot of pooled proportion of women with a high chance NIPT result that opt for an IPD procedure (NIPT available as a first line screening test); B) Forest plot of pooled proportion women with high chance NIPT result that opt for an IPD (NIPT available as a second line screening test). **19**

Meta-regression analysis adjusting for percentage uptake 301 of NIPT when offered as a second line 302 screen, after biochemical screening, showed a significant decrease of NIPT uptake on IPD use 303 (p=0.0002). The regression coefficient (-0.044(-0.067, -0.021)) suggests that for every 1% increase in 304 uptake of NIPT, the uptake of IPD decreases by -0.044. The uptake of first line NIPT screening was 305 not shown to be a significant factor influencing the proportion of IPDs (p=0.60) (Table 5).

Subgroup analyses Predictor variables Proportion opting for IPD (95% CI) random effects Test for subgroup differences (pvalue) Risk threshold for access to NIPT testing (% opting for IPD out of women with a high chance biochemical screening result): - Chance higher than 1:149 (n = 3) - Chance equal to or lower than 1:150 (n = 5)

0.45(0.17,0.77)

0.19(0.06,0.48)

Risk threshold for access to NIPT testing (% opting for IPD out of women with a positive NIPT result):

- Biochemical chance higher than 1:149 (n = 2)

- Biochemical chance equal to or lower than 1:150 (n = 6)

- No threshold for access (first line NIPT) (n=9)

i.e. did the risk threshold for NIPT access after biochemical screening (or no threshold for first line NIPT) change the proportion of women opting for IPD? 0.94(0.60,0.99)

0.65(0.61, 0.69)0.90(0.86,0.93) < 0.0001 When NIPT is offered in the pathway (% opting for IPD out of women with a high chance NIPT result): - First (n = 8)- Second (n = 11) - First or Second (n = 2) 0.90(0.85,0.93) 0.82(0.68,0.90) 0.91(0.25,0.99) 0.28 Meta-regression analyses Predictor variable Regression coefficient P-value Uptake of NIPT as a second line test (%) (n = 9) Uptake of NIPT as a first line test (%) (n = 4) -0.0441(-0.067, -0.021) 0.0085(-0.024,0.04) 0.0002 0.60 NIPT implemented as a national guideline (yes) -0.377(-1.3,0.55) 0.42 20 In summary, these analyses demonstrate that the uptake of IPD by 306 high chance pregnant women can 307 be explained in part by how NIPT is implemented (the risk threshold used and when it is offered in a 308 pathway). However, none of these analyses explained a considerable proportion of the 309 heterogeneity. 310 Termination of pregnancies following a high chance screening result for Down's 311 syndrome 312 Only one study provided data reporting the proportion of terminations of pregnancy for babies with 313 DS pre-NIPT implementation. Bjerregaard et al. (38) reports 10 (3.95%) terminations of pregnancy 314 (TOP) for DS after 253 high chance biochemical screening results. 315 Two studies provided data post-NIPT implementation on TOP for DS, after a high chance biochemical 316 screening result, reporting proportions of 4.96% and 10.2% (38,39). Meta-analysis of data (n=7) for 317 pregnant women opting for TOP after a high chance NIPT result (first or second line) provides a 318 pooled proportion of 69% (95% CI 52%, 82%; I2 = 88%) (Figure 8). 319 Live births following a high chance NIPT result for Down's syndrome 320 None of the included studies reported the proportion of live births of babies with DS following a high 321 chance biochemical screening test pre-NIPT implementation. 322 In the post-NIPT period, Dap et al., 2022 reported two live births of babies with DS following a high 323 chance biochemical screening result, out of 98 higher chance women identified by biochemical 324 screening. After a high chance NIPT result, seven studies reported the live births of babies with DS 325 (Figure 9). 21 The proportion of live births of babies with DS after high chance NIPT 326 ranged between 0% and 28%, 327 with a pooled proportion of 8% (95% CI 3%, 21%; I2 = 87%). 328 Only four of the studies included in the TOP and live birth analysis reported data on both outcomes, 329 allowing a comparison of these estimates in the same population. The pooled proportions of 330 terminations of pregnancy and live births were 72% (95% CI 50%, 87%; I2 = 91%) and 10% (95% CI 3% 331 to 28%; I2=90%) respectively. There is some discrepancy between the values for live births and 332 terminations of pregnancies, where we do not have an outcome for each pregnancy reported in 333 some included papers. The remainder of pregnancies will have ended in spontaneous pregnancy loss 334 or will have been lost to follow up within the study period. 335 Sensitivity analyses 336 Funnel plots of each meta-analysis demonstrated some asymmetry, which could be due to 337 publication bias, or true heterogeneity within the data (S4 Appendix). Sensitivity analyses were run

338 on each meta-analysis to explore heterogeneity, whereby one study was omitted at a time. None of
339 the studies in the meta-analyses exploring IPD uptake showed significant influence over the
340 estimated proportion or heterogeneity of the analysis. This was also found in the TOP meta-analyses,
341 however, for the live birth meta-analysis omitting Samura et al. was seen to reduce the heterogeneity
342 to 64% (from 87%) and gave a pooled estimate of 11% (95% CI 4%, 28%). S3 Appendix provides the
343 results of each sensitivity analysis.

344 Discussion

345 Summary of findings:

346 Overall, part A demonstrates a huge variation in NIPT implementation and its uptake between
347 eligible populations. NIPT has been implemented throughout the period of the search (2011 – 2023)
348 as a first and second line screen in 27 autonomous regions, both funded by governments and
22

privately. The differences in NIPT implementation could partially explain 349 the heterogeneity in uptake. 350 However, differences between healthcare systems, access to medical and social care resources, and 351 societal attitudes towards termination of pregnancy (TOP) and other aspects of prenatal screening 352 will also play a role in the acceptability of NIPT to the general public.

353 The overall proportion of IPD procedures after biochemical screening seems to have reduced after 354 the introduction of NIPT as a second line screen. Moreover, pooled results showed that, overall, 89% 355 of pregnant women opted for IPD after a high chance NIPT result, 69% chose to have a TOP, and 8% 356 of high chance pregnancies after NIPT ended in live births of babies with DS, although with a high 357 level of heterogeneity. When it was possible to do so, adjusting for different aspects of NIPT 358 implementation using subgroup analysis and meta-regression accounted for some heterogeneity in 359 the results looking at the uptake of IPD, namely the uptake of NIPT as a second line test and the risk 360 threshold used for NIPT access. Other factors analysed didn't seem to account for this heterogeneity. 361 Pooled estimates of key outcome measures of NIPT impact in populations around the world were 362 provided, an important starting point for the continual monitoring of NIPT impact. 363 Strengths:

364 This systematic review followed focussed review questions, with inclusion and exclusion criteria 365 selected a priori and published on the PROSPERO register. It utilised an exhaustive search strategy on 366 multiple databases, using sources that will deliver both published materials and grey literature. A 367 standardised risk of bias assessment tool was used, with appropriate subgroup, meta-regression and 368 sensitivity analyses to explore sources of heterogeneity and bias in the included studies. The 369 screening of manuscripts was undertaken by two independent reviewers, and discussion taken to a 370 third reviewer for settling disagreements.

371 Limitations:

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Not every study included in part A provided details of NIPT implementation 372 or uptake values for their 373 population, limiting the available evidence for comparison between screening programmes. Uptake 374 data was also limited by the level of detail provided, for example with some articles not providing the 375 denominator data for NIPT uptake.

376 This review is restricted to published material and grey literature referenced in included studies, and
377 as government guidelines or recommendations may not be always made publicly available or
378 published this will have limited the number of countries included in our results. National policies on
379 NIPT implementation that are not mentioned in peer reviewed literature will have been omitted.
380 The studies included in this review are mostly observational cohort studies. These are difficult to
381 assess for quality, as many elements of the data collection are not able to be controlled. The Downs
382 and Black scoring system has been used. However, as none of these studies are randomised cohort
383 trials there will inherently be bias in these studies.

384 Many studies do not report the data necessary to analyse the outcomes of interest (missing data, 385 lost to follow up, not enough data to estimate raw frequencies). Where data is reported, often the 386 sample sizes were small and confidence intervals very wide. Pre-NIPT implementation data was not 387 separately searched for, which means we have limited data to compare to available post-NIPT 388 implementation data. Data on high chance women after biochemical screening was extracted so that 389 it would be comparable between pre and post NIPT periods, where biochemical screening was used 390 in both, but the raw data for women undergoing each type of screening was not often reported or 391 available.

392 The meta-analyses showed high heterogeneity overall. Random effect models were used to adjust for 393 statistical heterogeneity, and sensitivity and subgroup analyses to explore its possible sources.

394 Comparison with existing literature:

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This review sought to provide an updated understanding of 395 where and how NIPT has been 396 implemented as part of a national screening programme. The results demonstrate that NIPT is 397 available globally, although mostly among European and high-income countries. Changes and updates 398 to the provision of NIPT were identified and may be due to the initial cost of the test gradually 399 decreasing with the more common use and availability of this technology, which has meant many 400 countries have been able to introduce this test as a first line screen (40).

401 The infrastructure and provision of healthcare resources, including cost and access, differ greatly 402 between countries and will influence the acceptability of a new screening test or process such as 403 NIPT. A comparative study of NIPT use in Quebec (Canada) and Lebanon highlighted the barriers to 404 access and ethical considerations presented in each population. This included the cost of screening 405 tests, coverage by insurance, lobbying by disability rights activists in Quebec, and the attitudes 406 towards termination of pregnancy (41). Similarly, the contrast between NIPT implementation in 407 Germany and Israel has been discussed by Raz et al., 2021 (42). These aspects were not able to be 408 accounted for in analyses and could inevitably be influencing the variation seen in the results. 409 Overall, vast heterogeneity in the healthcare systems and cultural differences between populations 410 and regions will strongly influence the use and uptake of NIPT. What is clear is that each country has 411 implemented NIPT in a different way, depending on several factors that might include the pre412 existing infrastructure for a screening programme; how the healthcare system runs; expected cost of 413 healthcare; the cultural and societal expectations on pregnancy screening and acceptability of 414 disability in these populations.

415 Implications for clinical practice / policy:

416 Numerous professional bodies have recommended the implementation of NIPT into screening 417 programmes (43,44) citing benefits such as cost effectiveness, improved accuracy for detecting 25

trisomies, and a potential reduction in the number of invasive 418 procedures performed. When 419 considering the methods of implementation and eligibility criteria for access to NIPT there seems to 420 be no consensus in how best to achieve this, instead pre-existing healthcare and societal aspects play 421 significant roles.

422 Since first marketed in 2011, NIPT has expanded rapidly from the commercial to public sectors. As 423 argued by Dougan et al. (23), the highly commercialised and marketed nature of the introduction of 424 NIPT resulted in the quick, and unstandardised implementation of this test into the public sector. It is 425 therefore important to understand the extent of availability and the impact this has had on the choices 426 made by women and on the outcomes of babies with DS, warranting ongoing examination at a 427 population level.

428 There is a lack of comprehensive data on the uptake of NIPT in populations, presented for a few 429 countries included in this review. Moreover, there will be an inevitable lag between the 430 implementation of a new technology and the publishing of any evaluation data, as well as many

431 countries not having the resources to collect the required data to present these statistics.

432 Consequently, data from those areas where NIPT has been more recently implemented will be 433 unavailable, and subsequently the reported list of countries or states implementing NIPT will not be 434 exhaustive, and the outcome data included in the meta-analyses limited.

435 The data analysed in this systematic review, and lack of completeness, emphasizes the requirement 436 for further population-based data to be published, which should allow for comparison between a 437 more diverse set of countries and possibly start to demonstrate associations between population 438 characteristics and uptake of NIPT.

26

Being a particularly sensitive topic, many countries may be reluctant to 439 publicly endorse pregnancy 440 screening for DS or publish their guidelines; suggested as one of the reasons behind countries such as 441 Germany not introducing a nation-wide policy on NIPT (42).

442 Ultimately, policy decisions impact on the choices made during pregnancy, and careful consideration 443 should be given to the way these changes are implemented. Transparent and publicly available data 444 is essential for a global approach to monitoring such impactful changes to screening programmes for 445 DS.

446 In conclusion, NIPT has been implemented as an antenatal screening test in national DS screening 447 programmes in many countries, and in a variety of ways, depending on the pre-existing healthcare 448 structure and resources of that country. The uptake of NIPT is seen to differ greatly between 449 populations, with no clear association with how NIPT has been implemented. There is evidence that 450 the number of pregnancies undergoing invasive prenatal diagnosis has reduced after second line 451 NIPT screening was implemented. The impact of NIPT on terminations of pregnancy and live births of 452 babies with DS cannot be examined in this review as comparable data for pre-NIPT period is not 453 available. Further studies using comparative pre and post NIPT data in the same populations are 454 required to understand its impact on these outcomes. References

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